

# CAPACITY OF MULTI-ANTENNA ARRAY SYSTEMS IN INDOOR WIRELESS ENVIRONMENT

Chen-Nee Chuah, Joseph M. Kahn and David Tse  
211-27 Cory Hall, University of California Berkeley, CA 94720  
e-mail: {chuah, jmk, dtse}@eecs.berkeley.edu

## Abstract

Previous studies have shown that a wireless system using  $n$  transmitting and  $n$  receiving antennas can achieve a capacity  $n$  times higher than a single-antenna system in an independent Rayleigh fading environment. In this paper, we explore the capacities of multiple-element antenna arrays (MEAs) in a more realistic propagation environment simulated via the WiSE ray-tracing tool. We impose an average power constraint and collect statistics of the capacity with optimal power allocation,  $C_{wf}$ , and the mutual information with an equal power allocation,  $I_{eq}$ . In addition, we present expressions for the asymptotic growth rates  $C_{wf}/n$  and  $I_{eq}/n$  as  $n \rightarrow \infty$  for two cases: (a) independent fadings and (b) correlated fadings at different antennas. We find that  $C_{wf}/n$  and  $I_{eq}/n$  converge to constants  $C_{wf}^*$  and  $I_{eq}^*$ , respectively in case (a), and to  $C_{wf}^0$  and  $I_{eq}^0$  in case (b). We observe that  $C_{wf}^0$  and  $I_{eq}^0$  predict very closely the slopes observed for simulated channels, even for moderate  $n$  (i.e., 16).

## I. Introduction

Signals propagating through wireless channels experience path loss, distortion due to multipath fading, additive noise, and cochannel interference. These impairments, along with the constraints on power and bandwidth, limit the system capacity. In the past, multiple antennas have been used at the receiver to combat multipath fading of the desired signal, e.g., using maximal ratio combining [1], or to suppress interfering signals, e.g., using optimal combining [2]. Recent studies report that using MEAs at both transmitter and receiver increases system capacity considerably over single-antenna systems ([3], [4]). In [4], Foschini and Gans consider  $n$  transmitting and  $n$  receiving antennas, with i. i. d. narrowband Rayleigh fading between antenna pairs. Assuming that a fixed power is allocated equally over all transmitting elements, the MEA mutual information ( $I_{eq}$ ) is reported to grow linearly with  $n$ . An MEA system achieves almost  $n$  more bps/Hz for every 3 dB increase in signal-to-noise ratio (SNR), compared to a single-antenna system, which only achieves one additional bps/Hz.

In practice, correlation exists between the signals transmitted by or received at different antennas. Correlation may arise if the antenna elements are not spaced far apart enough, e.g., Lee pointed out in [5] that the required antenna spacing to obtain a correlation coefficient between signals to be less than 0.7 is approximately

70 wavelengths for the broadside case and 15-20 wavelengths for the inline case. The presence of a dominant line-of-sight component can also affect the MEA capacities.

Here, we explore the MEA capacities in a more realistic propagation environment, where the fadings are not necessarily Rayleigh, nor independent. We determine the capacity  $C_{wf}$  when the transmitter knows the channel and optimum power allocation (water-filling) is used. Also, we compute the mutual information  $I_{eq}$  with equal power allocation of the MEA system, and we investigate the performance degradation as compared to  $C_{wf}$ .

We study the behavior of MEA capacities through simulation and analysis. We employ the Wireless System Engineering (WiSE)<sup>1</sup> [6] software tool to simulate explicitly the channel response between a transmitter and a receiver placed inside an office building. We model the multiple-input-multiple-output (MIMO) Rayleigh-fading channel as a matrix  $H$ , and study how  $C_{wf}$  and  $I_{eq}$  behave as  $n$  grows large. We show almost sure convergence of the asymptotic growth rates  $C_{wf}/n$  and  $I_{eq}/n$  considering two cases: (a) when fadings between different antenna pairs are independent and (b) when these fadings are correlated.

The remainder of this paper is organized as follows. In Section II, we model the channel as a MIMO system with flat frequency response. Using this mathematical model in Section III, we present information-theoretic results for the capacity of MEA systems and analyze its asymptotic growth rate as  $n$  grows large. In Section IV, we present capacity estimates for the simulated channels and discuss the discrepancies between these results and the asymptotic capacities predicted by theory. We briefly describe how WiSE is used to represent the indoor propagation environment that our study is based on. Conclusions are presented in Section V.

## II. Channel Model

The following notation will be used throughout the paper: ' for vector transpose,  $\dagger$  for transpose conjugate,  $I_n$  for the  $n \times n$  identity matrix,  $E[\cdot]$  for expectation, and underline for vectors.

### A. Basic Channel Model

We consider a single-user, point-to-point communication channel with  $n$  transmitting and  $n$  receiving antennas, with no co-channel interference. We assume

<sup>1</sup> WiSE is a ray-tracing tool that predicts RF propagation in a specific building, based on off-line experimental measurements.

that the channel response is flat over frequency. This approximation is reasonable if the communication bandwidth,  $W$ , is much less than the coherent bandwidth. In our simulated channels, the maximum delay spread<sup>1</sup> is 24 ns. Since the coherence bandwidth is approximately the reciprocal of the delay spread, the frequency response can be considered flat as long as  $W$  is much less than 42 MHz.

We assume that the channel is linear time-invariant and use the following discrete-time equivalent model:

$$\underline{Y} = H\underline{X} + \underline{Z}. \quad (1)$$

Here,  $\underline{X} = [x_1, x_2, \dots, x_T]^T$  is an  $n \times 1$  vector whose  $j$ th component represents the signal transmitted by the  $j$ th antenna. Similarly, the received signal and received noise are represented by  $n \times 1$  vectors,  $\underline{Y}$  and  $\underline{Z}$ , respectively, where  $y_i$  and  $z_i$  represent the signal and noise received at the  $i$ th antenna. The complex path gain between transmitter  $j$  and receiver  $i$  is represented by  $H_{ij}$ , for  $i = 1, 2, \dots, n$  and  $j = 1, 2, \dots, n$ . We further assume that:

- The total radiated power is  $P_{\text{tot}}$ , regardless of  $n$ .
- The noise  $\underline{Z}$  is an additive white complex Gaussian random vector. Its components,  $Z_i$ ,  $i = 1, 2, \dots, n$ , are i. i. d. circularly symmetric complex Gaussian random variables with variance  $E[|Z_i|^2] = N_0W$ .

We consider the following two cases:

1.  $H$  is known only to the receiver but not the transmitter. Power is distributed equally over all transmitting antennas in this case.
2.  $H$  is known at the transmitter and receiver. Therefore, power allocation can be optimized to maximize the achievable rate over the channel.

In this work, we treat  $H$  as quasi-static.  $H$  is considered fixed for the whole duration of communication, thus capacity is computed for each realization of  $H$  without time averaging. On the other hand,  $H$  changes if the receiver is moved from one place to the other, which happens over a much larger time scale. The capacity  $C_{\text{wf}}$  and mutual information  $I_{\text{eq}}$  associated with  $H$  can be viewed as random variables.

### III. Analysis of MEA Capacities

Channel capacity is defined as the highest rate at which information can be sent with arbitrarily low probability of error [8]. Since  $H$  is quasi-static, it is reasonable to associate  $C_{\text{wf}}$  to a specific realization of  $H$ , for a fixed  $P_{\text{tot}}$  and  $N_0W$ . Throughout our analysis, we assume  $H_{ij}$  for  $i, j = 1, 2, \dots, n$ , are identically distributed with the same variance  $\upsilon^2 = E[|H_{ij} - E[H_{ij}]|^2]$ . We assume that  $\upsilon^2$  is the same for all fading gain  $H_{ij}$  for all positions of the transmitting and receiving MEAs within their respective work spaces.

When  $n$  antennas are used, we denote the MEA capacity and mutual information as  $C_{\text{wf}}(n)$  and  $I_{\text{eq}}(n)$ , respectively. For the case with  $n = 1$ , the capacity is:

$$C_{\text{wf}}(1) = I_{\text{eq}}(1) = \log_2 \left( 1 + \frac{P_{\text{tot}}}{N_0W} |H|^2 \right) \text{ bps/Hz}. \quad (2)$$

In the high-SNR regime, each 3-dB increase of  $P_{\text{tot}}/N_0W$  yields a capacity increase of 1 bps/Hz.

#### A. Capacity With Water-filling Power Allocation

In this section, we assume the transmitter has perfect knowledge about the channel. Thus,  $P_{\text{tot}}$  can be allocated most efficiently over the different transmitters to achieve the highest possible bit rate, which is given by:

$$C_{\text{wf}}(n) = \max_Q \log_2 \det \left[ I_n + \frac{HQH^\dagger}{N_0W} \right] \text{ bps/Hz}, \quad (3)$$

where  $Q$  is the  $n \times n$  covariance matrix of  $\underline{X}$  ( $Q = E[\underline{X}\underline{X}^\dagger]$ ), and must satisfy the average power constraint:

$$\text{tr}(Q) = \sum_{i=1}^n E[X_i^2] \leq P_{\text{tot}}. \quad (4)$$

The achievable capacity ([9]) is:

$$C_{\text{wf}}(n) = \sum_{i=1}^n \log_2 (\Lambda_i \mu^\dagger), \quad (5)$$

where  $\mu$  satisfies  $\sum_i \left( \mu - \frac{1}{\Lambda_i} \right)^+ = P_{\text{tot}}$ , and the  $\Lambda_i$ 's are

the eigenvalues of  $HH^\dagger$ .

The optimal solution that gives the capacity in (5) is analogous to the water-filling solutions for parallel Gaussian channels [8].

#### B. Mutual Information With Equal Power Allocation

Here, we assume that equal power is radiated from each transmitting antenna, which is a natural thing to do when the transmitter does not know the channel. The MEA mutual information is:

$$I_{\text{eq}}(n) = \log_2 \det \left[ I_n + \left( \frac{P_{\text{tot}}}{nN_0W} \right) \cdot HH^\dagger \right] \text{ bps/Hz}. \quad (6)$$

#### C. Asymptotic Behavior of Capacity

We investigate the growth of  $I_{\text{eq}}$  and  $C_{\text{wf}}$  as  $n$  grows large for two cases: (a) when path gains,  $H_{ij}$ , are independent, and (b) when  $H_{ij}$ 's are correlated. In both cases, we assume that  $H_{ij}$ 's are identically distributed complex Gaussian with variance  $\upsilon^2$ . We define the average received SNR as  $\rho = \upsilon^2 P_{\text{tot}} / N_0W$ .

##### 1. Assuming Independence of Path Gains

For a given  $H$ , the capacity of  $n$ -antenna MEA is given by (5). The  $\Lambda_i$ 's are random variables that depend

<sup>1</sup>Delay spread here refers to the difference between the arrival times of the earliest- and latest-arriving rays having appreciable amplitude.

on  $H$ . For each  $n$ , let  $F_n$  be the fraction of  $\Lambda_i$  less than or equal to  $\Lambda$  with  $n$  antennas:

$$F_n(\Lambda) = \frac{1}{n} |\{i: (\Lambda_i \leq \Lambda)\}|. \quad (7)$$

Note that  $I_{\text{eq}}$  and  $C_{\text{wf}}$  depend on  $H$  only through the empirical distribution of  $\Lambda_i$ ,  $F_n(\Lambda)$ . The asymptotic properties of  $C_{\text{wf}}(n)$  depends on how the distribution  $F_n$  behaves as  $n$  approaches infinity. Khorunzhy et al, and Yin studied convergence of  $F_n$  in [10]-[11]. The following almost sure convergence theorem is due to the work by Silverstein et al in [12].

**Theorem 1.** Define  $G_n(\Lambda) := F_n(n\Lambda)$ . Then, almost surely,  $G_n$  converges to a nonrandom distribution  $G^*$ , which has a density given by:

$$g^*(\Lambda) = \begin{cases} \frac{1}{\pi} \sqrt{\frac{1}{\Lambda} - \frac{1}{4}} & 0 \leq \Lambda \leq 4 \\ 0 & \text{otherwise.} \end{cases} \quad (8)$$

The scaling by  $n$  in the definition of  $F_n$  means that the  $\Lambda_i$  are growing as order  $n$ . After rescaling, the distribution converges to a deterministic limiting distribution, i.e. for large  $n$ ,  $F_n(n\Lambda)$  looks similar for almost all realizations of  $H$ . Using this theorem, we derive the asymptotic growth rate of  $C_{\text{wf}}(n)$  as  $n \rightarrow \infty$  while keeping the average received SNR  $\rho$  constant.

**Proposition 1.** With almost sure convergence,

$$\frac{C_{\text{wf}}(n)}{n} \rightarrow C_{\text{wf}}^*(\rho), \text{ where}$$

$$C_{\text{wf}}^*(\rho) = \int_0^4 (\log_2(\mu\Lambda))^+ \cdot g^*(\Lambda) d\Lambda \quad (9)$$

and  $\mu$  satisfies  $\int_0^4 \left(\mu - \frac{1}{\Lambda}\right)^+ \cdot g^*(\Lambda) d\Lambda = \rho$ .

If we assume the transmitter always allocates an equal power  $P_{\text{tot}}/n$  to each transmitting antenna, the mutual information is given by (6). Using Theorem 1, we can prove the following proposition.

**Proposition 2.** With almost sure convergence,

$$\frac{I_{\text{eq}}(n)}{n} \rightarrow I_{\text{eq}}^*(\rho), \text{ where}$$

$$I_{\text{eq}}^*(\rho) = \int_0^4 (\log_2(1 + \alpha\Lambda))^+ \cdot g^*(\Lambda) d\Lambda. \quad (10)$$

With the above two propositions, we find that  $C_{\text{wf}}(n)$  and  $I_{\text{eq}}(n)$  scale like  $nC_{\text{wf}}^*$  and  $nI_{\text{eq}}^*$ , respectively. Using L'Hopital's rule, it can be shown that at low SNR,

$$\lim_{\rho \rightarrow 0} \frac{C_{\text{wf}}^*}{I_{\text{eq}}^*} = 4,$$

while at high SNR,  $\lim_{\rho \rightarrow \infty} C_{\text{wf}}^* - I_{\text{eq}}^* = 0$ .

## 2. Considering Correlation between Path Gains

Let  $\Psi^{\text{T}}$  be an  $n \times n$  matrix whose entry  $\Psi_{jk}^{\text{T}}$  is the correlation coefficient between signals transmitted by  $j$ th antenna and  $k$ th antenna,

$$\Psi_{jk}^{\text{T}} = E[H_{pj}H_{pk}^*] / \sqrt{E[|H_{pj}|^2]E[|H_{pk}|^2]}. \quad (11)$$

In our model, we assume that  $\Psi_{jk}^{\text{T}}$  does not depend on the index of the receiving antenna, i.e.  $p$  can be arbitrary as long as  $p \in \{1, 2, \dots, n\}$ . Similarly, let  $\Psi^{\text{R}}$  be an  $n \times n$  matrix whose entry  $\Psi_{pq}^{\text{R}}$  is the correlation between signals at receiver  $p$  and receiver  $q$ ,

$$\Psi_{pq}^{\text{R}} = E[H_{pj}H_{qj}^*] / \sqrt{E[|H_{pj}|^2]E[|H_{qj}|^2]}, \quad (12)$$

and it is also assumed to be independent of the index of the transmitting antenna,  $j$ .

To simplify our analysis, we assume that correlation for  $H_{ij}$ 's when both transmitting and receiving antennas are different is the product of the two one-dimensional correlation functions mentioned above:

$$E[H_{pj}H_{qk}^*] / \sqrt{E[|H_{pj}|^2]E[|H_{qk}|^2]} = \Psi_{pq}^{\text{R}} \cdot \Psi_{jk}^{\text{T}}. \quad (13)$$

We verify the validity of this assumption through WiSE simulation. We estimate correlation of  $H_{ij}$ 's empirically from 1000 realizations of  $H$  for  $n = 2$ . Comparing the product of  $\Psi_{12}^{\text{T}}$  and  $\Psi_{12}^{\text{R}}$  with the actual estimate of  $E[H_{11}H_{22}^*]$ , close agreement is found consistently between the two over the range of antenna spacings that we consider.

The asymptotic results in previous section can be extended to the case when the  $H_{ij}$ 's are correlated, under certain assumptions on the covariance matrices  $\Psi^{\text{R}}$  and  $\Psi^{\text{T}}$ . In particular, we assume that the empirical distributions of the eigenvalues of  $\Psi^{\text{R}}$  and  $\Psi^{\text{T}}$  converge to some limiting distributions  $F_{\text{R}}$  and  $F_{\text{T}}$ , respectively. This will be true if:

- The correlation between the fading at two antennas depends only on the relative and not absolute positions of the antennas; and
- The antennas are arranged on a regular lattice, such as in square grids or linear arrays, and as we scale up the number of antennas, the relative positions of adjacent antennas are fixed.

Under the above conditions, it can be shown that almost surely, as  $n \rightarrow \infty$ ,

$$\frac{C_{\text{wf}}(n)}{n} \rightarrow C_{\text{wf}}^{\circ}(F_{\text{R}}, F_{\text{T}}, \rho) \quad (14a)$$

$$\text{and } \frac{I_{\text{eq}}(n)}{n} \rightarrow I_{\text{eq}}^{\circ}(F_{\text{R}}, F_{\text{T}}, \rho), \quad (14b)$$

where  $C_{\text{wf}}^{\circ}$  and  $I_{\text{eq}}^{\circ}$  are constants that depend only on the SNR and the limiting eigenvalues distributions of  $\Psi^{\text{R}}$  and  $\Psi^{\text{T}}$ . While these limits can be computed for arbitrary SNR [13], we shall focus here only on the case when the

SNR is high. In this regime, particularly simple expressions can be obtained. It can be shown that at high SNR,

$$\begin{aligned} C_{\text{wf}}^o(F_{\text{R}}, F_{\text{T}}, \rho) &\approx I_{\text{eq}}^o(F_{\text{R}}, F_{\text{T}}, \rho) \\ &\approx \log_2 \rho + \int_0^1 \log_2 \eta^{\text{R}}(x) dx \\ &\quad + \int_0^1 \log_2 F_{\text{T}}^{-1}(x) dx \end{aligned} \quad (15)$$

where for each  $x$ ,  $\eta^{\text{R}}(x)$  is the unique solution to:

$$\int_0^1 \frac{F_{\text{R}}^{-1}(y)}{\eta^{\text{R}}(x) + x F_{\text{R}}^{-1}(y)} dy = 1. \quad (16)$$

The approximation in (15) is in the sense that the difference goes to zero as  $\rho \rightarrow \infty$ . It is shown in [13] that

$$\int_0^1 \log_2 \eta^{\text{R}}(x) dx \leq -1, \quad (17)$$

with equality if and only if fadings are independent at the receiver. Hence this term quantifies the capacity penalty due to correlation at the receiver. It can also be shown that

$$\int_0^1 \log_2 F_{\text{T}}^{-1}(x) dx \leq 0, \quad (18)$$

with equality if and only if fadings are independent at the transmitter. This term thus quantifies the capacity penalty due to correlation at the transmitter.

## IV. Ray-Tracing Channel Simulation

### A. WiSE System Model

We use the experimentally based WiSE ray-tracing simulator [6] to generate the channel matrix  $H$  for the indoor wireless environment of a two-floor office building in New Jersey (see Fig. 1). We place the transmitting MEA on the first floor ceiling near the middle of the office building throughout our study. Receiving MEAs are placed with random rotations at 1000 randomly chosen positions in Room A, which is at intermediate distance from the transmitter. We consider a carrier frequency of 5.2 GHz (wavelength,  $\lambda = 0.58$  cm). The MEAs consist of multiple omnidirectional antennas, arranged either in square grids or linear arrays within horizontal planes. The separation between antenna elements  $d$  is the same for both the transmitting and receiving MEAs.

Since  $H$  varies for different receiver locations, we estimate the channel variance  $\sigma^2$ , by averaging over 1000 realizations of  $H$ , and over all possible antenna pairs,  $j$  to  $i$ . We assume that the average received SNR  $\rho$ , as defined in Section III-C, should be high enough for low-error-rate communication. If the SNR is too low, we need excessively long codes to achieve a low error probability. Practical constraints on current A/D converters limit the maximum SNR that can be exploited effectively. Thus,

we consider SNRs in the 18-22 dB range. For all our simulations, we assume  $W$  to be 10 MHz, and  $N_0$  to be -170 dBm/Hz<sup>1</sup>, giving a total noise variance  $N_0 W$  of -100.8 dBm. The capacity and mutual information,  $C_{\text{wf}}(n)$  and  $I_{\text{eq}}(n)$ , are computed for different  $n$ .

## B. Simulation Results and Discussion

### 1. Capacity and Mutual Information of MEAs

In this section, we consider square arrays for compactness. The receivers are placed in room A. We consider  $n = 1, 4, 9, 16, 25$  and  $36$ ,  $d = 0.5 \lambda$ , and  $\rho = 18$  dB. The CCDFs for  $C_{\text{wf}}(n)$  are plotted in Fig. 2 (solid lines). The rightward shift of the curves shows that  $C_{\text{wf}}(n)$  increases with  $n$ , because spatial diversity provides additional degrees of freedom for transmission. One performance indicator of interest is the capacity that can be supported 95% of the time, i.e., the 5% channel outage. Using a single antenna yields  $C_{\text{wf}}^{0.05}(1) = 5.9$  bps/Hz while MEAs with four antennas achieve  $C_{\text{wf}}^{0.05}(4) = 20$  bps/Hz, which is almost three and a half times larger. For  $n = 36$ , we can get as high as 106 bps/Hz.

The CDDFs of  $I_{\text{eq}}(n)$  are also plotted in Fig. 2 (dashed lines). The advantage of having channel knowledge at the transmitter for water-filling to be employed is illustrated by the horizontal gap between the CCDFs of  $C_{\text{wf}}(n)$  and  $I_{\text{eq}}(n)$ . For small  $n$  such as  $n = 4$ , the difference between  $C_{\text{wf}}^{0.05}(4)$  and  $I_{\text{eq}}^{0.05}(4)$  is only about 1 bps/Hz (about 5% difference). This gap increases with  $n$ , e.g. for  $n = 36$ ,  $C_{\text{wf}}^{0.05}$  is 11.3% larger than  $I_{\text{eq}}^{0.05}$ .

The relative capacity gain of  $C_{\text{wf}}(n)$  over  $I_{\text{eq}}(n)$  is sensitive to  $\rho$  and  $n$ .  $C_{\text{wf}}^{0.05}(n)/I_{\text{eq}}^{0.05}(n)$  are plotted in Fig. 3. The gain decreases as  $\rho$  increases, and it decreases at a slower rate for larger  $n$ . When  $\rho$  is small, knowing the channel allows us to allocate power more efficiently to stronger subchannels and therefore achieve higher capacity as compared to equal power distribution over all subchannels. When  $\rho$  is large, there is sufficient power to be distributed over all sub-channels, therefore the relative strength of the subchannels become less important. For  $n = 4$ , the ratio decreases from 3 at  $\rho = -10$  dB to 1 at  $\rho = 50$  dB for  $C_{\text{wf}}^{0.05}(n)/I_{\text{eq}}^{0.05}(n)$ .

### 2. Asymptotic Behavior of MEA Capacities

We study how MEA capacity behaves as  $n$  grows large in simulated channels. We only focus on the high-SNR regime,  $\rho = 22$  dB. Since  $C_{\text{wf}}(n)/I_{\text{eq}}(n)$  is close to 1 for high SNR, we only consider water-filling capacity  $C_{\text{wf}}$ .

For simplicity, we consider linear arrays where the antenna-elements of MEA are equally spaced with two antenna spacings:  $d = 0.5 \lambda$  and  $5 \lambda$ . The transmitting MEA is placed orthogonal to the long dimension of the hallway (“broadside” arrangement as in [5]). We esti-

<sup>1</sup>Typical two sided power spectral density of thermal noise at 300 K (room temperature) for a receiver that is modeled as a  $50 \Omega$  resistance is -170.8dBm/Hz.

mate the variance  $v^2$  and eigenvalues of the covariance matrix to compute  $C_{\text{wf}}^*$  and  $C_{\text{wf}}^o$  using (9) and (15).

The average capacity  $\bar{C}_{\text{wf}}(n)$  for different  $n$  is computed using 1000 realizations of  $H$ , and is plotted for  $d = 0.5\lambda$  and  $5\lambda$  as the solid lines in Fig. 4. The dashed lines represent the capacities approximated using the asymptotic growth rates for the correlated case; these are straight lines with slope  $C_{\text{wf}}^o$ . The gap between simulation results and the asymptotic results grows smaller for increasing  $n$ . For  $d = 5\lambda$ ,  $\bar{C}_{\text{wf}}(n)/n$  converges to 98% of  $C_{\text{wf}}^o$  when  $n = 16$ . The dotted line represents the asymptotic capacity derived assuming independent fading, which is a straight line of slope  $C_{\text{wf}}^*$ . We observe that even for  $d = 5\lambda$ ,  $nC_{\text{wf}}^*$  is significantly larger than the value of  $\bar{C}_{\text{wf}}(n)$  found for simulated channels. That is, the asymptotic results of Section III-C-1, which do not include the effects of correlation, overestimate MEA system capacity.

If the assumptions in Section III-C-2 hold, and the correlation is correctly captured by our model,  $C_{\text{wf}}(n)/n$  should converge almost surely to  $C_{\text{wf}}^o$  in the limit of large  $n$ . In Fig. 5, we illustrate this asymptotic behavior of  $C_{\text{wf}}(n)/n$  at large SNR by plotting the empirical probability density functions (PDFs) of  $C_{\text{wf}}(n)/n$  for  $n = 4, 9$  and  $16$  with  $d = 0.5\lambda$  (strong correlation between  $H_{ij}$ 's) and  $d = 5\lambda$  (less correlation between  $H_{ij}$ 's). As  $n$  increases, the PDF becomes narrower and has a higher peak value, i.e.  $C_{\text{wf}}(n)/n$  becomes less random. In the limit of large  $n$ , we expect the PDF of  $C_{\text{wf}}(n)/n$  to converge to an impulse function centered at the value  $C_{\text{wf}}^o$ . The narrowing PDF's in Fig. 5 illustrate the almost surely convergence of  $C_{\text{wf}}(n)/n$  to  $C_{\text{wf}}^o$ . Note that when  $d = 5\lambda$ , the PDF's are narrower and taller than when  $d = 0.5\lambda$ . This indicates that the rate of convergence is higher when  $d$  is larger, which is the case when the correlation between  $H_{ij}$  is lower. Further analysis is needed to understand how correlation affects the validity of the asymptotic results in Section III-C when  $\rho$  is not large.

## V. Conclusions

MEA systems offers potentially huge capacity gains over single-antenna systems. With perfect channel knowledge at the transmitter, water-filling solutions can be employed to achieve capacity  $C_{\text{wf}}$ . Equal power allocation is easier to implement, but yields a mutual information  $I_{\text{eq}}$  that can be significantly smaller than  $C_{\text{wf}}$ . The water filling gain  $C_{\text{wf}}/I_{\text{eq}}$  is most significant when the average received SNR  $\rho$  is small.  $C_{\text{wf}}^{0.05}/I_{\text{eq}}^{0.05} = 3.5$  when  $\rho = -10$  dB, but at  $\rho = 50$  dB, water filling gain is negligible,  $C_{\text{wf}}^{0.05}/I_{\text{eq}}^{0.05} \approx 1$ .

Assuming i. i. d. path gains between different antenna pairs, theoretical analysis shows that the capacity grows linearly with the number of antennas  $n$  in the limit of large  $n$ . However, in a more realistic propagation environment, correlation does exist between antenna

pairs and causes a smaller rate of growth in capacity. Our simulation results show that for  $0.5\lambda$  antenna spacing, the simulated average capacity  $\bar{C}_{\text{wf}}$  is only 79% of the predicted value  $nC_{\text{wf}}^o$  for a broadside system with  $n = 16$  at  $\rho = 22$  dB. When the antenna spacing is increased, we see more agreement between  $C_{\text{wf}}$  and  $nC_{\text{wf}}^o$ . Indeed with  $d = 5\lambda$ ,  $C_{\text{wf}}(n)/nC_{\text{wf}}^o = 98\%$  when  $n = 16$ .

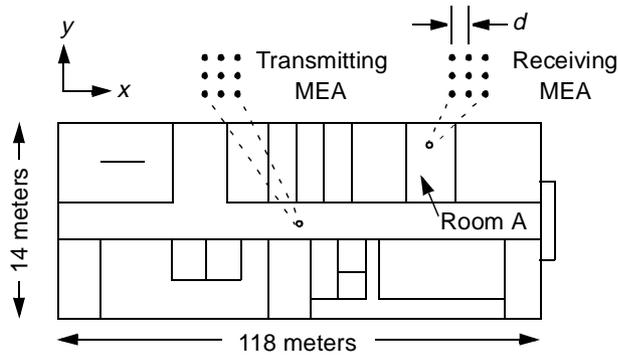
## VI. Acknowledgments

The authors are grateful to Reinaldo Valenzuela, Jerry Foschini, Jonathan Ling and Dmitry Chizhik for allowing us to use their WiSE simulation tools, and for their valuable advice & suggestions. Discussions with Jack Salz and Da-shan Shiu have been enlightening and are much appreciated.

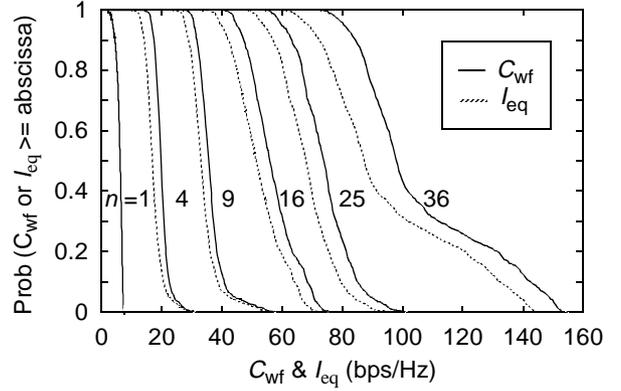
## VII. References

- [1] W. Jakes Jr., *Microwave Mobile Communications*, New Wiley, 1974.
- [2] J. Winters, "Optimum Combining for Indoor Radio Systems with Multiple Users," *IEEE Trans. Commun.*, vol. com-35, no. 11, pp. 1222-1230, Nov. 1987.
- [3] G. J. Foschini and M. J. Gans, "On Limits of Wireless Communication in a Fading Environment When Using Multiple Antennas," accepted for publication in *Wireless Personal Communications*.
- [4] G. J. Foschini and M. J. Gans, "Capacity When Using Diversity At Transmit And Receive Sites and The Rayleigh-Faded Matrix Channel Is Unknown At The Transmitter," *WIN-LAB Workshop on Wireless Information Network*, New Brunswick, NJ, March 20-21, 1996.
- [5] W. C. -Y. Lee, "Effects on Correlation Between Two Mobile Radio Base-Station Antennas," *IEEE Trans. on Communications*, vol. com-21, No.11, pp. 1214-1224, November, 1974.
- [6] S. J. Fortune, D. H. Gay, B. W. Kernighan, O. Landron, R. A. Valenzuela and M. H. Wright, "WiSE design of Indoor Wireless Systems: Practical Computation and Optimization," *IEEE Computational Science and Engineering*, vol. 2, no. 1, pp. 58-68, March, 1995.
- [7] G. J. Foschini and R. A. Valenzuela, "Initial Estimation of Communication Efficiency of Indoor Wireless Channels," *Wireless Networks*, vol. 3, no. 2, pp. 141-54, 1997.
- [8] T. M. Cover and J. A. Thomas, *Elementary of Information Theory*, John Wiley & Sons, New York, 1991.
- [9] I. E. Telatar, "Capacity of Multi-antenna Gaussian Channels," submitted to *IEEE Transactions on Information Theory*.
- [10] A. M. Khorunzhy, B. A. Khoruzhenko and L. A. Pastur, "Asymptotic properties of large random matrices with independent entries," *Journal of Mathematical Physics*, vol. 37, no. 10, pp. 5033-60, Oct. 1996.
- [11] Y. Q. Yin, "Limiting Spectral Distribution for A Class of Random Matrices," *Journal of Multivariate Analysis*, vol. 20, pp. 50-68, 1986.
- [12] Jack Silverstein, "Strong Convergence of the Empirical Distribution of Eigenvalues of large Dimensional Random Matrices," *Journal of Multivariate Analysis*, vol. 55, no. 2, pp. 331-339, 1995.
- [13] David Tse, "Capacity Scaling in Multi-antenna Systems", in preparation.

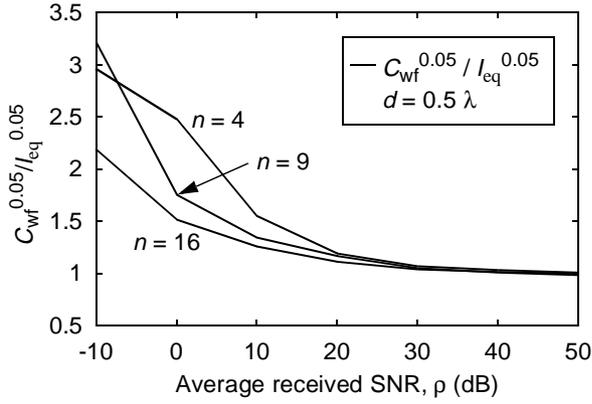
## VIII. Figures



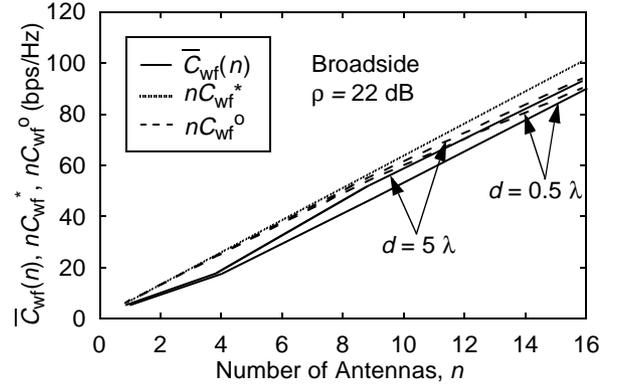
**Fig. 1.** Floor Plan of the office building modelled in WiSE. The transmitting MEA is placed with its adjacent sides parallel to  $x$ - and  $y$ -axes, respectively. The receiving MEA is placed with a random orientation at each of the sample locations in room A.



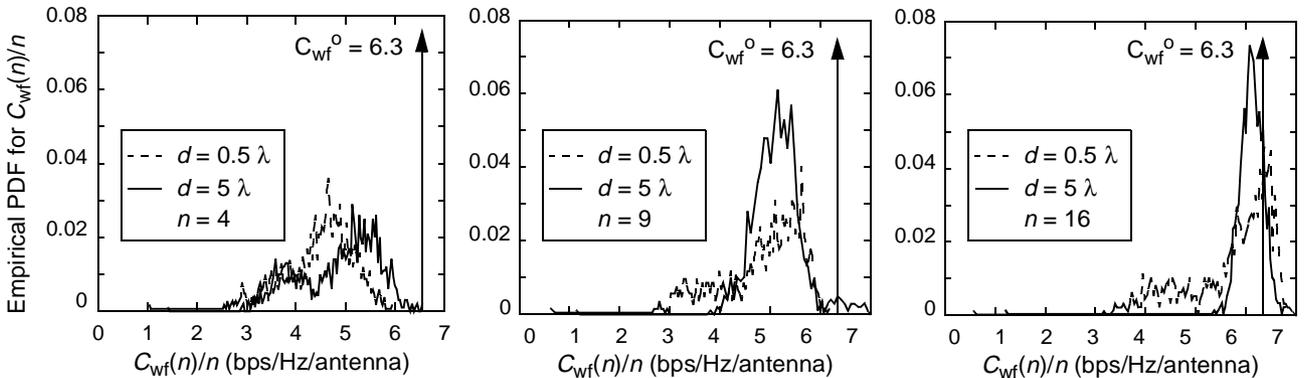
**Fig. 2.** The CCDFs of  $C_{wf}$  (achieved via water-filling) and  $I_{eq}$  (with equal power allocation) for  $n = 1, 4, 9, 16, 25$  &  $36$  at received  $\rho = 18$  dB. MEA antennas are arranged in square grids with  $d = 0.5 \lambda$ .



**Fig. 3.** Water-filling gain  $C_{wf}^{0.05} / I_{eq}^{0.05}$  (solid lines) over varying average received SNR,  $\rho$ , in room L147 for  $n = 4, 9$  and  $16$ . Antennas at both the transmitter and the receiver are arranged in square grids in this case.



**Fig. 4.** Average capacity  $\bar{C}_{wf}(n)$  versus  $n$ . Also shown are  $nC_{wf}^*$  and  $nC_{wf}^0$ , which are asymptotic results for independent and correlated  $H_{ij}$  respectively (see Section III-C). We consider linear arrays with the transmitting MEA placed parallel to the  $y$ -axis (broadside case).



**Fig. 5.** Empirical probability density function of the normalized capacity  $C_{wf}(n)/n$  for  $n = 4, 9$  and  $16$ . We consider linear arrays with antenna elements separated by  $0.5 \lambda$  and  $5 \lambda$ . The reference value is  $C_{wf}^0$ , as predicted by the asymptotic theory considering correlated  $H_{ij}$ .